

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 3

In the Application of SWAIN et al.

Serial No.: 09/705,149

Art Unit: unassigned

Filed: 1 November 2000

Examiner: unassigned

Title: DNA-VACCINES BASED ON CONSTRUCTS DERIVED FROM THE GENOMES OF HUMAN AND ANIMAL PATHOGENS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
<i>BOC</i>	AA-1	4,945,050	7/31/90	Sanford et al.	—	—	
	AB-1	5,100,792	3/31/92	Sanford et al.	—	—	
	AC-1	5,120,657	6/9/92	McCabe et al.	—	—	
	AD-1	5,149,655	9/22/92	McCabe et al.	—	—	
	AE-1	5,171,568	12/15/92	Burke et al.	—	—	
	AF-1	5,204,253	4/20/93	Sanford et al.	—	—	
	AG-1	5,378,814	1/3/95	Houghton et al.	—	—	
	AH-1	5,584,807	12/17/96	McCabe	—	—	
	AI-1	5,589,466	12/31/96	Felgner et al.	—	—	
	AJ-1	5,630,796	5/20/97	Bellhouse et al.	—	—	
	AK-1	5,865,796	2/2/99	McCabe	—	—	
	AL-1	6,168,587	1/2/01	Bellhouse et al.	—	—	
✓	AM-1	6,194,389	2/27/01	Johnston et al.	—	—	

Examiner:

Bergman

Date Considered:

03/29/02

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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In the Application of SWAIN et al.

Serial No.: 09/705,149

Art Unit: unassigned

Filed: 1 November 2000

Examiner: unassigned

Title: DNA-VACCINES BASED ON CONSTRUCTS DERIVED FROM THE GENOMES OF HUMAN AND ANIMAL PATHOGENS

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO	
<i>Ble</i>	AN-1	WO 89/04669	6/1/89	PCT	<i>1</i>	<i>1</i>		
	AO-1	WO 90/11089	10/4/90	PCT	<i>1</i>	<i>1</i>		
	AP-1	WO 90/14436	11/29/90	PCT	<i>1</i>	<i>1</i>		
	AQ-1	WO 94/00575	1/6/94	PCT	<i>1</i>	<i>1</i>		
	AR-1	WO 94/24263	10/27/94	PCT	<i>1</i>	<i>1</i>		
	AS-1	WO 95/16779	6/22/95	PCT	<i>1</i>	<i>1</i>		
	AT-1	WO 96/12513	5/2/96	PCT	<i>1</i>	<i>1</i>		
	AU-1	WO 96/14855	5/23/96	PCT	<i>1</i>	<i>1</i>		
	AV-1	WO 96/20022	7/4/96	PCT	<i>1</i>	<i>1</i>		
	AW-1	WO 96/31613	10/10/96	PCT	<i>1</i>	<i>1</i>		
	AX-1	WO 97/48485	12/24/97	PCT	<i>1</i>	<i>1</i>		
<i>✓</i>	AY-1	WO 98/10750	3/19/98	PCT	<i>1</i>	<i>1</i>		

Examiner:

Barbara L.

Date Considered: *03/29/02*

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Title: DNA-VACCINES BASED ON CONSTRUCTS DERIVED FROM THE GENOMES OF HUMAN AND ANIMAL PATHOGENS

OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
BCEC,	AZ-1	Baer et al. (1984) <i>Nature</i> <u>310</u> :207-211
	AA-2	Davison et al. (1986) <i>J. Gen. Virol.</i> <u>67</u> :1759-1816
	AB-2	Stanberry et al. (1987) <i>J. Infect. Dis.</i> <u>155</u> :914-920
	AE-2	McGeoch et al. (1988) <i>J. Gen. Virol.</i> <u>69</u> :1531-1574
	AD-2	Stanberry et al. (1988) <i>J. Infect. Dis.</i> <u>157</u> :156-163
	AE-2	Ho et al. (1989) <i>J. Virol.</i> <u>63</u> :2951-2958
	AF-2	Burke et al. (1991) <i>Virology</i> <u>181</u> :793-797
	AG-2	Burke et al. (1991) <i>Rev. Infect. Dis.</i> <u>13</u> (Suppl 11):S906-S911
	AH-2	Houghton et al. (1991) <i>Hepatology</i> <u>14</u> :381-388
	AI-2	Mertz et al. (1992) <i>Ann. Intern. Med.</i> <u>116</u> :197-202
	AJ-2	Straus et al. (1994) <i>Lancet</i> <u>343</u> :1460-1463
	AK-2	Johnston et al. (1997) <i>Vaccine</i> <u>15</u> :808-809
	AL-2	Swain et al. (1997) <i>Behring Institute</i> <u>98</u> :73-78

Examiner:

Baoguan

Date Considered:

03/29/02

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